

**PROGRESS MADE IN RESPECT OF IMPROVEMENTS IDENTIFIED THROUGH THE REVIEW OF
THE ANNUAL GOVERNANCE STATEMENT 2018/19**

Improvement	CIPFA / SOLACE Principle	Owner	Update 4 December 2019
Develop guidance on our approach to consultation as part of the communications strategy (carried forward from 2017/18 Annual Governance Statement Review).	Principle B	Head of Legal and Commercial Services	Underway. We will be providing guidance on the legal requirements on consultation – this will be rolled out in January 2020.
Review approach to presenting the economic, social and environmental impact of decisions within committee reports.	Principle C	Head of Legal and Commercial Services	Complete. Revised committee report format adopted November 2019.
Develop organisational requirements for benchmarking of services.	Principle E	Head of HR and Organisational Development	Underway. We will be collating information about benchmarking possibilities from the Team planning process in late 2019 / early 2020 to identify our future organisational approach.
Corporate Asset Management Strategy required to go with HRA Asset Management Strategy (carried forward from 2017/18 Annual Governance Statement Review).	Principle E	Head of Housing and Property	Complete. The Corporate Asset Management Strategy was considered by Corporate Scrutiny Committee on 4 September 2019 and will be approved by Cabinet on 12 November 2019.
Implement internal audit recommendations in respect of Health and Safety arrangements. Progress against this action will be reported via the Internal Auditors updates at Audit and Governance Committee.	Principle F	Head of HR and Organisational Development	Underway. Good progress being made with the support of an external critical friend from another Council, and we are procuring a new Health and Safety Management system/process. A joint management / trade union group has been established to consider and progress the Health and Safety Audit report recommendations
Review implementation of In-Phase and scope improvements to performance and project management frameworks. Develop performance management framework.	Principle F	Head of HR and Organisational Development	Complete. Changes to InPhase performance management and team planning arrangements including training completed for 2020/21. Quarterly reports to members have been changed and improved to focus on Council delivery plan delivery and key performance indicators. Project support arrangements are being changed to provide a level of administrative support.

			A corporate Performance management process has been completed.
<p>Completion of anti-fraud actions identified as part of anti-fraud and corruption audit to be completed (carried forward from 2017/18 Annual Governance Statement Review).</p> <p>Awareness raising of anti- fraud and corruption to take place amongst staff.</p> <p>Implement recommendations arising from the LCC review.</p>	Principle F	Head of Finance	<p>Underway.</p> <p>The Leicestershire Fraud Hub were commissioned early 2019 to undertake a review of the Council's fraud policy framework. The assessment and result of this work are yet to be received, following which, a programme of awareness raising will be developed and implemented.</p>
<p>Implement actions identified within the Finance and Business Plan including the procurement of a new finance system (carried forward from 2017/18 Annual Governance Statement Review).</p>	Principle F	Head of Finance	<p>Underway.</p> <p>A range of improvement actions included in the plan have been implemented or started during the year so far including:</p> <ul style="list-style-type: none"> - Work has commenced to procure a new finance system, with consultants appointed to develop a specification to go to the market. The timetable for procurement remains in development but we anticipate to have awarded a new finance system contract by July 2020. - An new standardised investment appraisal approach has been developed and adopted by CLT - Pricing strategies for each income generating service areas are under development - The approach to scenario modelling in the council's medium term financial plan has been further developed - Training has been undertaken for the Extended Leadership Team in respect of budgeting. CLT have attended Treasury Management training.
<p>Implement actions to address issues identified in Internal Audit of Sundry Debtors (carried forward from 2017/18 Annual Governance Statement Review).</p>	Principle F	Head of Finance	<p>Underway.</p> <p>All recommendations arising from the sundry debtor internal audit have now been implemented with the exception of an action plan for dealing with historic sundry debts which is currently being reviewed by the Finance Team Manager. Internal Audit are in the process of finalising their follow-up review.d</p>